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Charles Marie Heve Noblet

February 5, 1999

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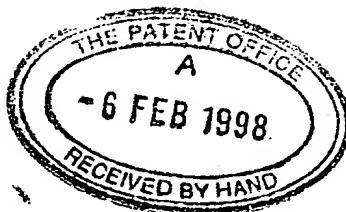
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# Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)



09FEB98 E336331-1 D03086  
P01/7700 25.00 - 9802545.5

The Patent Office

Cardiff Road  
Newport  
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1. Your reference

03/98

2. Patent application number

(The Patent Office will fill in this part)

06 FEB 1998  
8661 834 90

9802545.5

3. Full name, address and postcode of the or of each applicant (underline all surnames)

NEC TECHNOLOGIES (UK) LTD  
CASTLE FARM CAMPUS  
PRIORSLEE, TELFORD, SHROPSHIRE TF2 9SA

Patents ADP number (if you know it)

7012313001

If the applicant is a corporate body, give the country/state of its incorporation

ENGLAND AND WALES

4. Title of the invention

OVER-THE-AIR RE-PROGRAMMING OF RADIO TRANSCEIVERS.

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

J. W. WHITE  
NEC TECHNOLOGIES (UK) LTD  
THE IMPERIUM, IMPERIAL WAY,  
READING, BERKSHIRE RG2 0TD.

Patents ADP number (if you know it)

7336555001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number  
(if you know it)

Date of filing  
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing  
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

- a) any applicant named in part 3 is not an inventor, or
  - b) there is an inventor who is not named as an applicant, or
  - c) any named applicant is a corporate body.
- See note (d))

YES

**Patents Form 1/77**

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form —

Description 8

Claim(s) 1

Abstract —

Drawing(s) 3 + 3 + 3.

10. If you are also filing any of the following, state how many against each item.

Priority documents —

Translations of priority documents —

Statement of inventorship and right to grant of a patent (Patents Form 7/77) —

Request for preliminary examination and search (Patents Form 9/77) 1

Request for substantive examination (Patents Form 10/77) —

Any other documents (please specify) —

11. I/We request the grant of a patent on the basis of this application.

Signature

Date

6 Jan '98

12. Name and daytime telephone number of person to contact in the United Kingdom

J. W. WHITE 0118 965 4606

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## Over-the-air re-programming of radio transceivers

This invention relates to radio transmitter/receivers and in particular it relates to a method of re-programming radio transmitter/receivers over-the-air.

A radio transmitter/receiver (transceiver) such as a radiotelephone is designed for operation with particular types of networks such as GSM 900 or DCS 1800. Intended use of the radiotelephone with a particular network(s) in a restricted geographical area, however, requires that the telephone be configured so as properly to communicate with the particular network (s). The user of a radiotelephone will usually have a telephone which has been configured for communication with a so called "home network". The home network is the local network usually most used by the subscriber.

The area within which a user of e.g. a GSM radiotelephone may operate, however, is considerable and is not limited to the home network but may be used on many other networks throughout the world. Use of a handset outside the home network is known as "roaming".

When the radiotelephone is to be used in roaming it is often necessary for it to have a configuration different to that for use with the home network. It is possible for re-configuration of radio transmitter/receivers to be effected by means of signals received across the air interface.

It is also convenient for the radio to be re-configurable over the air interface so as to support different types of communication and user applications e.g. addition of address book manager, whether or not it is located in the home network.

Over the air re-programming of radio receivers is well known in the art and reference may be made to US patent 5 381 138 for example. The capability to obtain programming data from a network is particularly useful for a roaming radio transmitter/receiver.

When beginning operation in an area for which the radiotelephone is not configured and it is required to download the data for reconfiguration from one of the available networks, a communication link must first be established with the network of interest. It has been proposed that a pilot channel be established in all areas from which the roaming radiotelephone may obtain the data necessary for reconfiguration.

A pilot channel of this type, however, will require a relatively large bandwidth to allow a sufficiently fast transfer of the data required.

According to the invention there is provided a method of downloading reprogramming data from a network for installation in a radio transmitter/receiver comprising initial communication from a first dedicated channel of relatively small bandwidth broadcasting at least the frequency and radio access parameters of a second channel of relatively large bandwidth from which reprogramming data may be downloaded.

Examples of the invention will now be described in more detail with reference to the accompanying figures in which

figure 1 Illustrates the logical structure of the bootstrap channel

Figure 2 Is a flow diagram of a reconfiguration process

figure 3 Is a flow diagram of an alternative reconfiguration process

A roaming radio transmitter/receiver (mobile) is located in a region served by one or more networks and the user wishes to communicate with a network from which he can obtain reprogramming data and subsequently begin communicating with the network in the communication mode selected.

A pilot channel broadcast is maintained in the region and contained in the pilot channel broadcast there is at least sufficient information for the mobile to connect to a second channel which we shall call the bootstrap channel. Conveniently the pilot channel will be broadcast in all regions over a standardised radio interface. Only a small bandwidth is required for the pilot channel because of the small amount of information contained in the broadcast.

The small bandwidth requirement makes the task of standardisation much easier with respect to the pilot channel. The wider bandwidth channels are more conveniently assigned locally for ease of implementation.

The Pilot Channel (P\_CH) broadcasts a list of sets of parameters corresponding to networks available in the region. The mobile receives the network transmission through the P\_CH. If the existing configuration of the mobile is matched to the available regional radio schemes, then a second channel the bootstrap channel (B\_CH) is logically mapped onto the selected transmission mode. The base station and mobile exchange information over this dedicated logical channel.

The Bootstrap channel is logically mapped on top of one of the default modes of the terminal; a mapping of a logical B\_CH onto the physical GSM channel for instance may be implemented. Once the mapping has been effected the terminal may download data from the base station. The bootstrap channels provided by each operator may accommodate differing services with regard to the applications available for downloading.

The flow diagram shown at fig 3 depicts a reconfiguration procedure.

When the mobile is switched on, it reads the Pilot Channel broadcast. The mobile must be configured to support the (standardised) radio interface of the Pilot Channel. The Pilot Channel carries local radio parameters (standards supported in the regional environment in which the mobile is located). After processing the received information, the mobile



communicates with the base station through the Bootstrap Channel, provided that the mobile has the minimum resources required by its local radio environment. Prior to the change of channel, P\_CH to B\_CH, a logical mapping of the Bootstrap Channel is performed within the mobile on the selected air interface.

When operation on a local B\_CH transmission has been established, the user may wish to change some properties or the performance of his mobile and can request supply of the desired services from the network. If no changes are required then the mobile adopts the default transmission mode in stand-by and releases the allocated B\_CH.

If the user requests a change then communication between the base station and mobile is maintained for the exchange, the nature of which will depend on the capabilities of both mobile and network. At least 3 conditions can affect the nature of this information exchange.

Firstly, the mobile may not be able to support the required software. Where the mobile is not able to support the required software, no communication channel is available to the mobile from the existing network resources and use of the mobile within the region will therefore not be possible.

Secondly, the required software may be stored already in the mobile's memory. In this situation there is no need to download a software module but the allocated B\_CH connection is maintained for further operations as described.

Thirdly, the software module required to support a different type of communication or user application may need to be downloaded from the base station. Where the download of a software module is required, initially a selection script is downloaded to the mobile followed by downloading and installation of the required software.

When the installation of the required software into the mobile has been completed, the mobile signals to the network the achievement of correct reconfiguration. On receipt of the "correct reconfiguration" signal from the mobile details of the mobile identity and its present configuration are entered on the network database (to license the product for instance) .

With reference to figure 1, the logical structure of the bootstrap channel will include 2 logical sub-channels : a download channel and a signalling control channel (S\_CH). The signalling control channel assists in the reduction of errors in transmission so as to allow correct software download.

In the above example, the first channel, the Pilot Channel, is standardised and the mobile must be configured to support the radio interface for the Pilot Channel. The second (bootstrap) channel may be subject to local definition through logical mapping on a local transmission mode e.g. GSM, DECT and the mobile is not initially configured to support the radio interface for the bootstrap channel..

An example of a method of reprogramming providing greater flexibility will now be given. In this example the mobile is configured to support the radio interfaces for both the first, dedicated relatively small bandwidth (Pilot) channel and the second relatively large bandwidth (bootstrap) channel. That is to say that when the mobile is switched on in most and preferably all regions, the network can communicate with the mobile via both pilot and bootstrap channels.

In order for the mobile always to have the appropriate radio interface for the bootstrap channel then this channel would need also to be standardised (in addition to the Pilot Channel). The parameters of the bootstrap channels provided in different regions may have local variations in terms of e.g. allocated frequency, data rate and available user applications.

With reference to figure 3 which is a flow diagram of the reconfiguration process for this example, the mobile when switched on reads the Pilot Channel broadcast. The allocated frequency and radio resource parameters for the bootstrap channel contained in the pilot channel broadcast are processed and any required logical mapping effected. After processing the received information, the mobile communicates with the base station through the Bootstrap Channel.

The condition likely to be experienced in the previous example whereby the mobile is not able to support the required software and no communication channel is available to the mobile from the existing network resources does not apply in this arrangement. The communication via the bootstrap

channel allows the request for and supply of the software module necessary to establish communication with the network. The transfer to the bootstrap channel does not depend on the existing configuration of the mobile since the bootstrap channel is standardised in this example and the mobile is equipped to interface, via the pilot channel, with the bootstrap channel.

The services and structure offered by the Bootstrap Channel are common for both of the above examples, however, the requirements on the terminals and networks differ.

The bootstrap channel will provide the following services by means of over-the-air (OTA) reconfiguration :

capability Exchange - the terminal provides some information to the network on its current configuration and capabilities.

module Selection : at this stage the user specifies the software that his terminal requires to download. This operation could be compared to an installation script.

data download : transfer of the data. In some cases software code will have to be downloaded whilst in other cases the software may already be implemented in the mobile. In the latter case, a set-up mechanism would be sufficient to initiate the reconfiguration.

Once the mobile and the base station are synchronised on the bootstrap channel, information exchange can begin.

## Claims

1. A method of downloading reprogramming data from a network for installation in a radio transmitter/receiver comprising initial communication from a first dedicated channel of relatively small bandwidth broadcasting at least the frequency and radio access parameters of a second channel of relatively large bandwidth from which reprogramming data may be downloaded.
2. A method of downloading reprogramming data from a network as in claim 1 where first, dedicated relatively small bandwidth channel has a standard radio interface common to many network locations.
3. A method of downloading reprogramming data from a network as in claim 2 where second relatively large bandwidth channel has a standard radio interface common to many network locations.
4. A method of downloading reprogramming data from a network as in claims 1 to 3 where first, dedicated relatively small bandwidth channel broadcasts a list of sets of parameters corresponding to networks available in the region.
5. A method of downloading reprogramming data from a network as in claim 1 where the radio transmitter/receiver is configured to support the radio interfaces for both the first, dedicated relatively small bandwidth channel and the second relatively large bandwidth channel.

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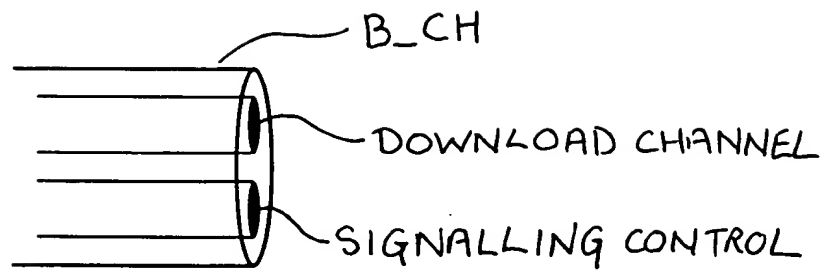


Figure: 1

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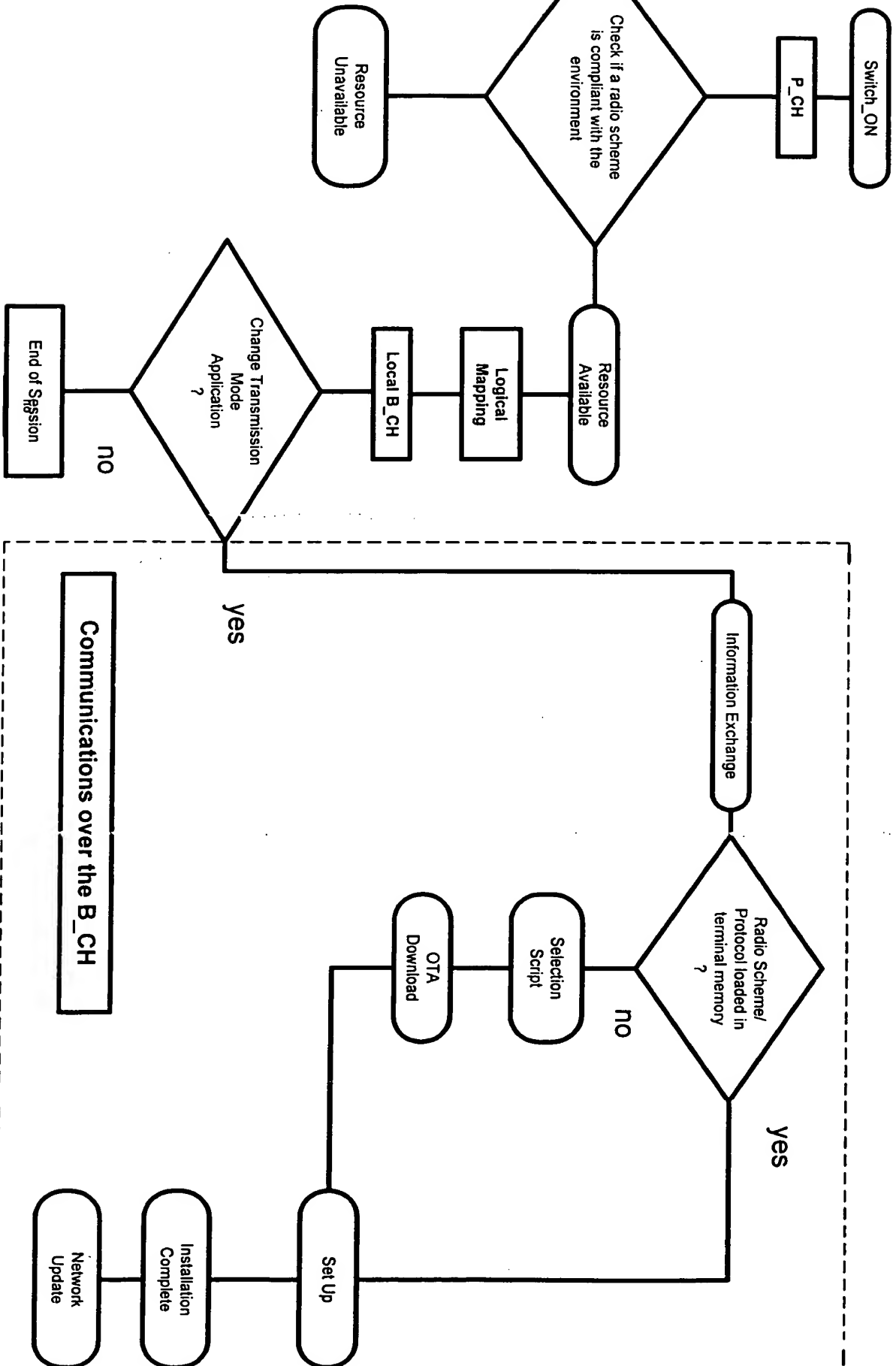
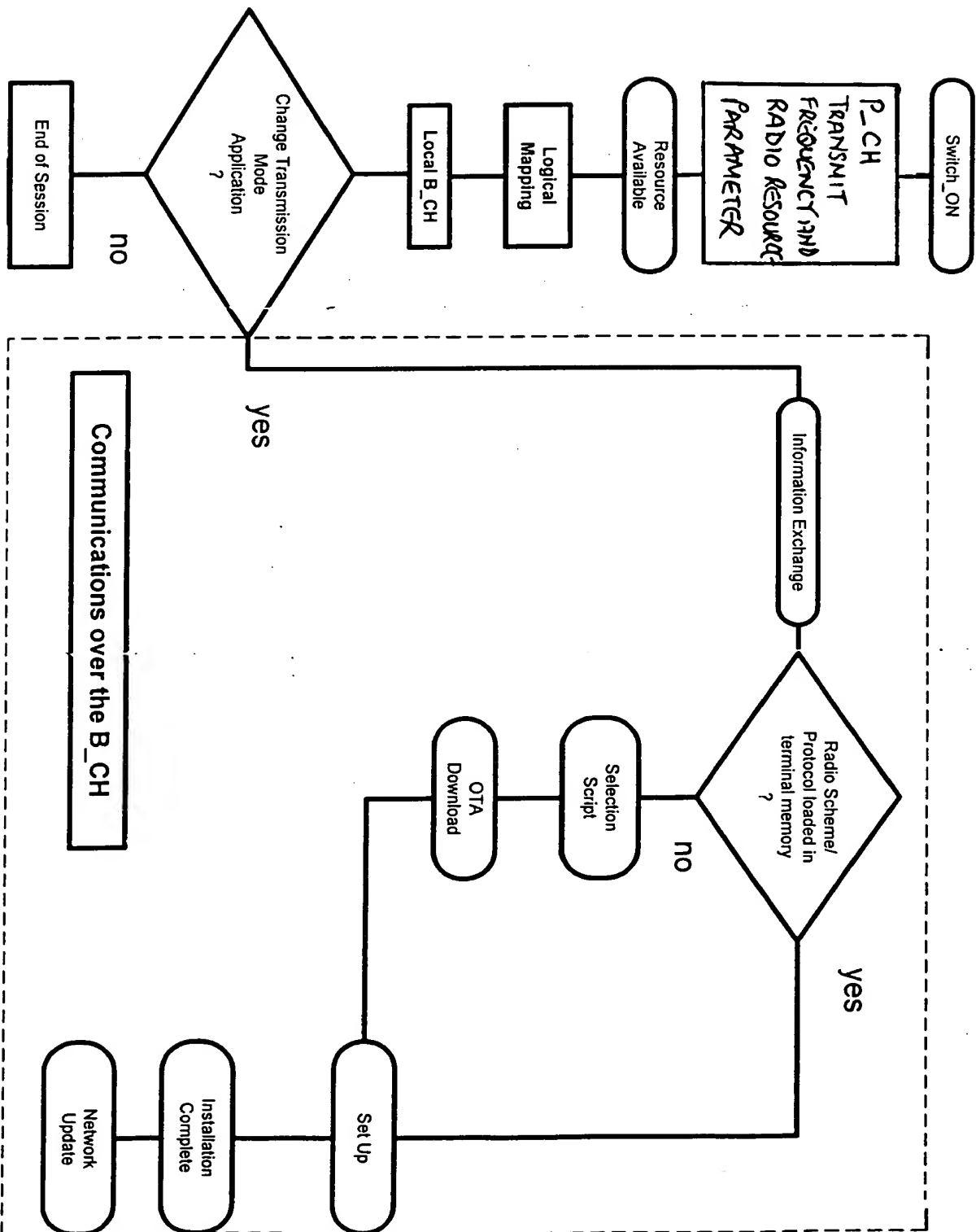


Figure 2

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Figure 3



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